

Accepted Manuscript

Clofazimine improves clinical outcomes in multidrug-resistant tuberculosis: a randomized controlled trial

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PII: S1198-743X(18)30530-5

DOI: [10.1016/j.cmi.2018.07.012](https://doi.org/10.1016/j.cmi.2018.07.012)

Reference: CMI 1382

To appear in: *Clinical Microbiology and Infection*

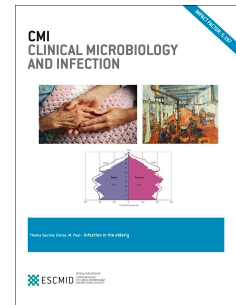
Received Date: 29 January 2018

Revised Date: 5 July 2018

Accepted Date: 10 July 2018

Please cite this article as: Duan H, Chen X, Li Z, Pang Y, Jing W, Liu P, Wu T, Cai C, Shi J, Qin Z, Yin H, Qiu C, Li C, Xia Y, Chen W, Ye Z, Li Z, Chen G, Wang S, Liu Y, Chu L, Zhu M, Xu T, Wang Q, Wang J, Du Y, Wang J, Chu N, Xu S, Clofazimine improves clinical outcomes in multidrug-resistant tuberculosis: a randomized controlled trial, *Clinical Microbiology and Infection* (2018), doi: 10.1016/j.cmi.2018.07.012.

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1 **Clofazimine improves clinical outcomes in multidrug-resistant**
2 **tuberculosis: a randomized controlled trial**

3

4 **Running Title:** Treatment of MDR-TB with CFZ in China

5

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75

76 **Abstract**77 **Objectives:** We carried out a randomised multicenter study in China to investigate

78 whether the clofazimine (CFZ) would improve the efficacy of the standardised

79 regimen in multidrug-resistant tuberculosis (MDR-TB) patients.

80

81 **Methods:** MDR-TB patients managed in 17 TB specialised hospitals in China

82 between September 2009 and September 2011 were randomly assigned to the

83 treatment groups at enrolment. In the intervention group 100 mg CFZ per day was

84 added to the standardised regimen. The primary outcome was the proportion of

85 patients with successful outcomes.

86

87 **Results:** From the 156 patients that were screened, 74 were assigned to the control

88 group and 66 to the CFZ group. Of the 66 cases analysed for clinical outcome in the

89 CFZ group, 36 patients were cured, and 7 completed treatment, yielding a favourable

90 outcome rate of 65.1%. The proportion of patients with favourable outcomes among

91 control regimen was 47.3% (35/74), which was significantly lower than that in the

92 CFZ group ($P=0.034$, $RR=0.661$, $95\%CI: 0.243-0.949$).

93

94 **Conclusions:** The addition of clofazimine to the standard regimen improved the

95 treatment of MDR-TB.

96

97 **Keywords:** multidrug-resistant tuberculosis; clofazimine; treatment; China; adverse
98 events

99

100 **Introduction**

101 Multidrug-resistant tuberculosis (MDR-TB), defined as resistance to at least
102 rifampicin (RIF) and isoniazid (INH), is a major public health threat that jeopardizes
103 the progress in TB control worldwide [1, 2]. According to an estimation by the World
104 Health Organization (WHO), in 2016 490,000 MDR-TB cases emerged globally, and
105 of these 240,000 died as a result of MDR/RIF-resistant (RR)-TB [1]. Among new and
106 previously treated TB cases, the proportions of MDR/RR-TB cases were 4.1% (95%
107 confidence interval [CI]: 2.8%-5.3%) and 19% (95% CI: 9.8%-27%), respectively [1].

108

109 China has the third largest number of TB patients worldwide [1]. Despite the steady
110 decline in the overall TB notification rate [3], the MDR-TB epidemic emerges as the
111 greatest challenge facing TB control in this country [4], with estimated rates of 7.1%
112 and 24% among new and previously treated TB cases, respectively [1]. More
113 importantly, only a small proportion of affected individuals are actually diagnosed
114 and can access proper treatment in China[5], contributing to increasing treatment
115 failures and ongoing transmission within communities.

116

117 Treatment of patients with MDR-TB is more complicated than those with drug-
118 susceptible TB due to the limited efficacy of second-line drugs, an increased number
119 of adverse events associated with the drugs, and the long duration of therapy [6,
120 7].The treatment outcome of MDR-TB is generally poor, and only 48% of MDR-TB
121 cases worldwide achieve a favourable outcome [8]. We need novel TB drugs that are

122 active against drug-resistant bacteria [7]. Given the costly and lengthy process of new
123 drug discovery, repurposing existing drugs has emerged as an alternative strategy to
124 provide accessible anti-TB drugs for patients infected with MDR-TB [7]. Among
125 these candidate drugs, clofazimine (CFZ), a member of riminophenazine antibiotic
126 class, probably improves outcomes of MDR-TB and is classified by WHO as a group
127 C drug [9]. In 2010, a clinical trial conducted in Bangladesh revealed that a 9-month
128 treatment regimen including CFZ could cure nearly 90% of patients with MDR-TB
129 [10], indicating the potential role of CFZ for improving the treatment outcome of this
130 serious form of TB. The finding was subsequently confirmed by several observational
131 studies from other researchers [11, 12].

132

133 To provide further evidence on the use of CFZ in the treatment of MDR-TB cases, we
134 carried out a randomised multicentre study in China focused on the potential of
135 adding CFZ to the standardised regimen. The adverse events associated with CFZ
136 were analysed to evaluate its safety in Chinese population.

137

138 **Methods**

139 **Ethic statement**

140 The study was approved by the Medical Research Ethics Committee, Beijing Chest
141 Hospital, Capital Medical University (2009-28). Eligible participants infected with
142 MDR-TB were required to provide written informed consent. Patients could withdraw
143 from the trial at their own request. This study was registered after its completion
144 with the Chinese Clinical Trial Registry (ChiCTR, www.chictr.org.cn) under
145 identifier ChiCTR1800014800.

146

147 Study design

148 A multicentre, randomized trial was conducted among MDR-TB patients who
149 registered in 17 TB specialized hospital between September 2009 and September
150 2011. The study consisted of 3 phases: (1) screening; (2) treatment of intensive phase
151 (6 months); (3) treatment of consolidation phase (18 months).

152 Participants were randomized (1:1) to control or experimental group at enrolment.
153 Randomization was conducted by using a computer-generated random-number table,
154 statistical staff generated the random allocation sequence. Clinical doctors enrolled
155 participants. All participants and clinicians involving in this study were unblinded to
156 the treatment allocation. Patients in the control group received amikacin
157 (capreomycin), levofloxacin, pyrazinamide, ethambutol, para-aminosalicylic acid
158 (protonamide), and amoxicillin/clavulanate for 6 months; and then were subsequently
159 administered a baseline regimen of levofloxacin, pyrazinamide, ethambutol, para-
160 aminosalicylic acid (protonamide), and amoxicillin/clavulanate for 18 months. The
161 dose of drugs was listed in Table S1. Patients in the CFZ group received 100 mg of
162 CFZ per day in addition to the baseline regimen within the whole 24-month treatment
163 period. Patients and clinicians were unblinded to the treatment received throughout
164 the trial. At enrolment, data were collected on demographic and clinical
165 characteristics, including age, sex, body mass index (BMI), anti-TB treatment
166 duration, and co-morbidity. No changes were made to study methods after
167 commencement of the trial.

168

169 Participants

170 Patients were recruited from 17 hospitals in China (Table S2). Eligible patients were
171 at least 18 years of age, not pregnant, had sputum smear-positive pulmonary TB, and

172 had MDR-TB confirmed by conventional drug susceptibility testing. Reasons for
173 exclusion included: (i) XDR-TB (MDR-TB strains with additional resistance to any
174 fluoroquinolone and one injectable second-line drug); (ii) patients infected with non-
175 tuberculous mycobacteria; (iii) severe comorbidity (Table S3); (iv) previous anti-
176 tuberculosis treatment with clofazimine.

177

178 **Assessment**

179 Sputum smears and solid culture were performed monthly during 2-year study period.
180 Drug susceptibility testing (DST) for four first-line anti-TB drugs (rifampicin,
181 isoniazid, ethambutol, and streptomycin) and 6 second-line drugs (amikacin,
182 capreomycin, ethionamide, para-aminosalicylic acid, ofloxacin, and levofloxacin) was
183 performed using the proportional agar method on Löwenstein–Jensen (L-J) medium
184 [14]. In addition, routine blood counts, biochemical tests, and urinalysis were assessed
185 monthly to monitor the occurrence of adverse events. Skin discoloration was defined
186 as the visible presence of reddish discoloration/pigmentation and ichthyotic changes
187 of the skin. Hepatic damage was defined as the elevation of serum transaminases to at
188 least three times the normal levels in the presence of gastrointestinal symptoms, or
189 serum transaminases to at least five times the normal levels without symptoms. Renal
190 damage was defined as the elevation of creatinine to at least 1.3 times the normal
191 levels. Adverse events were graded according to an adaptation of the AIDS Clinical
192 Trials Group Table for Grading Adverse Experiences [15]. Study regimen was
193 temporarily discontinued for all patients with grade 3 or 4 adverse events, defined as
194 serious adverse event.

195 The primary outcome was the proportion of patients with successful outcomes. The
196 clinical outcomes were assessed by the local investigator without blinding. The

197 following treatment outcome definitions were adapted from WHO guidelines. Cure
198 was defined by at least 3 consecutive negative cultures and no positive culture during
199 the last 18 months of treatment. Treatment completion was defined by bacteriological
200 conversion through the end of treatment but fewer than three consecutive negative
201 culture. Death was defined as death for any reason during the course of MDR-TB
202 treatment. Default was defined as treatment interruption for 2 or more consecutive
203 months for any reason without medical approval. Treatment failure was defined as
204 persistence of two or more positive cultures of the five cultures recorded in the final
205 12 months, persistence of one or more positive cultures of the final three months, or
206 early treatment termination because of poor clinical or radiological response or
207 adverse events. Successful outcome included cure and treatment completion, while
208 adverse outcome included any death, default, and treatment failure [16]. There were
209 no changes to trial outcomes after the trial commenced.

210

211 **Sample size calculation**

212 By reviewing previous studies [10, 13] , we estimated that the rates of patients with
213 favourable outcomes at the end of treatment were 50% for the control group and 80%
214 for the CFZ group. The sample size calculation determined that 51 subjects per
215 treatment arm would provide a power of 80% to show the difference of the CFZ
216 intervention to the control regimen, assuming a one-side type I error of 0.05. In
217 addition, we estimated that 10% of the MDR patients in each study group would have
218 XDR-TB and that 20% would be loss of follow-up or default. Hence, a sample of 65
219 subjects per arm was recruited during the study period.

220

221 **Data analysis**

222 The original data of treatment records were entered into a computer by a double data
223 entry method using Epidata-Entry (<http://www.epidata.dk/>). We used SPSS 20.0 for
224 analysis. We used Chi-square analysis to investigate the clinical outcomes, occurrence
225 of adverse events of patients randomly assigned in the control and experimental
226 groups. Student's t-test were conducted for continuous variables. In addition,
227 univariate analysis and multivariate analysis were conducted to assess the potential
228 risk factors associated with a poor clinical outcome, respectively. The Kaplan–Meier
229 curve was generated to describe and compare the overall rate of bacteriological
230 conversion over a two-year period. The difference was declared as significant if the *P*
231 value was less than 0.05.

232

233 **Results**

234 **Participants**

235 Between September 2009 and September 2011, a total of 156 patients were screened,
236 and 140 underwent randomisation in this study, where 74 and 66 patients were
237 assigned to the control and CFZ groups, respectively. All recruited patients had a
238 negative test result for the human immunodeficiency virus (HIV). The trial ended on
239 the date of the final follow-up of the patient who was last randomised. During the
240 study period, 39 patients discontinued their treatment (Fig. 1). The principal reason
241 for discontinued treatment was a failure to follow-up ($n=19$), followed by treatment
242 modification due to self-reported intolerable adverse events ($n=8$) and early treatment
243 termination due to serious adverse events ($n=8$). The demographic and clinical
244 characteristics of the patients were similar in the two study groups. Approximate 95%
245 (132/140) of patients had a previous tuberculosis treatment history, with a median

246 previous treatment duration of 18 months and 24 months for control and CFZ group,
247 respectively. One tenth of patients had comorbidity (Table 1).

248

249 **Treatment efficacy**

250 Of the 66 cases analysed for clinical outcome in the CFZ group, 36 patients were
251 cured, and 7 achieved treatment completion who had documented bacteriological
252 conversion through the end of treatment but fewer than three consecutive negative
253 culture, yielding a favourable outcome rate of 65.1%. Out of 23 patients meeting the
254 criteria of adverse outcome, 4 died, 10 defaulted, and 9 failed the treatment in the
255 CFZ group. The proportion of patients with favourable outcomes among those
256 receiving the control regimen was 47.3% (35/74, 26 cured and 9 treatment
257 completion), which was significantly lower than that in the CFZ group ($P=0.034$,
258 $RR=0.661$, 95%CI: 0.243-0.949) (Table 2).

259 Of the 140 study patients, 101 with culture results were included in Kaplan–Meier
260 analyses. As shown in Fig. 2, MDR patients in the CFZ group had conversion to
261 culture-negative status sooner than those in the control group by using mycobacterial
262 culture with L-J medium ($P=0.031$) (Fig. 2).

263

264 **Adverse events**

265 A total of 44 adverse events occurred in 44 patients in this study, including 14 in the
266 control group and 30 in the CFZ group. There was a significant difference in the
267 incidence of adverse events between the two groups ($P=0.001$). Data on the adverse
268 events is detailed in Table 3.

269

270 Nine patients (9/44, 20.5%) had serious adverse events, including 3 in the control and
271 6 in the CFZ groups, respectively (Table 3 & Table S4). Anti-TB treatment in the
272 control group was stopped and not restarted due to a gastrointestinal reaction and an
273 occurrence of anaemia. Also, the adverse effect of the patient suffering
274 gastrointestinal reaction was resolved by stopping treatment, and the initial regimen
275 was reused after one-month of interruption. In the CFZ group, 6 different reactions
276 (two of hepatic damage, two of gastrointestinal reaction, one of renal damage, and
277 one of leukocytopenia) caused serious adverse events; thus, treatments were
278 discontinued and not restarted.

279

280 **Discussion**

281 In this study involving 140 MDR-TB patients, we found that the addition of
282 clofazimine to the treatment regimen significantly improved outcomes among MDR-
283 TB patients. Similar results were observed in the prospective cohort studies from
284 Norway (86.9%) [17] and Bangladesh (87.1%) [10] and were higher than those from
285 Brazil (65.2%) [18], Shanghai (62.9%) [12] and Peru (59.9%) [19]. The discrepancy
286 across various reports may be related to the study's population and treatment regimen
287 [18]. The individuals enrolled in this study had MDR-TB instead of XDR-TB, which
288 may explain the greater treatment success rate in our study. This difference may also
289 be due to longer treatment duration of clofazimine during the whole 24-month
290 treatment period. There is evidence that an extended duration of treatment is
291 associated with favourable outcomes [20, 21]. In addition to the significant benefit
292 effect on the clinical outcomes, the cost of CFZ is more affordable compared with
293 other second-line drugs. This further highlights its use as an important candidate drug
294 against MDR-TB, especially in low-resource settings.

295

296 Despite exhibiting promising efficacy against MDR-TB, several major concerns
297 regarding the application of CFZ should be taken into consideration in clinical
298 practice. For instance, cross-resistance to bedaquiline and clofazimine has been noted
299 by some researchers [24, 25], where prior exposure to clofazimine could cause
300 resistance to both drugs due to sharing the same efflux pump system [25]. The abuse
301 of clofazimine may facilitate the emergence of bedaquiline resistance, thereby
302 resulting in the rapid loss of this new drug. Therefore, the evaluation of *in vitro* CFZ
303 resistance is essential before its clinical application. Furthermore, the critical
304 concentration of CFZ has not yet to be established by WHO [14]; thus, there is an
305 urgent need to develop the accurate and reproducible DST method for CFZ.

306

307 The beneficial effect of clofazimine was tempered, as expected, by the high rates of
308 drug-related adverse events. While skin discoloration is the most common adverse
309 event associated with the administration of CFZ [12], previous studies demonstrated
310 that lowering the dose of clofazimine to 100 mg every other day could help manage
311 the side effects of skin discoloration [12]. However, the effect of decreased exposure
312 to CFZ on clinical outcomes remains unknown. Moreover, hepatic damage (according
313 to our definition) was observed more often patients assigned to the CFZ group
314 compared with patients in the control group, though the difference did not reach
315 statistical significance due to the small sample size. Our findings indicate that routine
316 determination of hepatic enzyme levels should be performed in patients administered
317 the CFZ-containing regimen to avoid the occurrence of severe hepatic injury.

318

319 Our study has several limitations. First, we limited our analysis to the primary
320 outcome of treatment success rate at the end of the treatment course, while the long-
321 term effect of CFZ on relapse among this cohort of MDR-TB cases was not evaluated.
322 Second, due to lack of a reliable DST method for the detection of CFZ resistance, we
323 could not assess the correlation of *in vitro* DST results of CFZ with clinical response
324 to treatment. Likewise, the acquired resistance following exposure to CFZ was not
325 collected in this clinical trial. Third, all patients enrolled in this study had MDR-TB,
326 which means that it was not possible to determine whether CFZ exhibits promising
327 efficacy for patients with XDR-TB. Fourth, although great efforts were focused on
328 patient follow-up, 19 out of 140 study patients failed to show for follow-up visits,
329 which increases the risk of statistical bias. Despite these limitations, our findings echo
330 the increasing evidence that the addition of CFZ is more effective in achieving
331 favourable outcomes for individuals infected with MDR-TB.

332
333 In conclusion, our data demonstrate that the addition of clofazimine to the routine
334 treatment regimen exhibits promising efficacy for the treatment of MDR-TB. The
335 high incidences of CFZ-related skin discoloration and hepatic dysfunction highlight
336 the need to conduct routine examination to avoid the occurrence of serious adverse
337 events.

338
339 **Conflicts of interest** None declared.

340
341 **Acknowledgments** We express our thanks to Yongai Luo, Xichen Huang (The
342 First Affiliated Hospital of Chongqing Medical University), Yan Ruan, Wei Lin,
343 Sufang Fang, Guolan Wu (Fuzhou Pulmonary Hospital of Fujian), Qing Zhang

344 (Shanghai Pulmonary Hospital affiliated to Tongji University), Lisi Wang, Liming
345 Zhang (Chongqing People's Hospital), Kesar Ughur, Junlian Li (Xinjiang Uygur
346 Autonomous Region Chest Hospital) for their time and effort in data collection and
347 patients' follow-up.

348

349 **Author contributions** NC and SX designed the study. HD, XC, ZL, YP, WJ, TX
350 participated in data analysis. HD, XC, ZL, PY and WJ wrote the manuscript. PL, TW,
351 CC, JS, ZQ, HY, CQ, CL, YX, WC, ZY, ZL, GC, SW, YL, LC, MZ, QW, JW, YD
352 and JW participated in data collection and patients' follow-up. All authors approved
353 the final version of the paper.

354

355 **Access to to the full data:** Tao Xu

356

357 **Funding**

358 This work was supported by the Ministry of Science and Technology of the People's
359 Republic of China [2017ZX09304009] and Key Clinical Specialty Discipline
360 Construction Program of Fuzhou, Fujian, P.R.C(201510302) .

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434 Figure Legends**435 Figure 1 Enrolment and follow-up of the study patients.****436 Figure 2 Time to sputum-culture conversion in the control and experimental****437 groups**

Table 1 Demographic and clinical characteristics of MDR-TB patients enrolled in this study

Characteristic	Experimental group (N=66)	Control group (N=74)
Age--years		
Mean	36.8	36.4
Range	19~65	18~61
Male sex--no.(%)	44(66.7)	44(59.5)
Body mass index--kg/m²		
Mean	19.9	19.8
Range	15.0~27.3	14.0~25.7
Treatment history		
New cases--no.(%)	3 (4.5)	5 (6.8)
Previously treated--no.(%)	63 (95.5)	69 (93.2)
Treatment duration of previously treated patients--months		
Mean	29.9	23.0
Range	1~140	1~120
Co-morbidity--no.(%)		
Diabetes	2 (3.0)	2 (2.7)
COPD ^a	2 (3.0)	2 (2.7)
Cardiopathy	1 (1.5)	1 (1.4)

^aCOPD, chronic obstructive pulmonary disease.

Table 2 Treatment outcomes of patients with multidrug-resistant tuberculosis

Treatment outcome	No. of patients (%)		P value
	Experimental group (N=66)	Control group (N=74)	
Favorable outcome			0.034
<i>Cure</i>	36 (54.5)	26 (35.1)	
<i>Treatment completion</i>	7 (10.6)	9 (12.2)	
Adverse outcome			
<i>Treatment failure</i>	9 (13.6)	24 (32.4)	
<i>Death</i>	4 (6.1)	2 (2.7)	
<i>Default^a</i>	10 (15.2)	13 (17.6)	

^aFour patients withdrawing consent due to in control group are classified into default category.

Table 3 Adverse events during 24-month treatment among patients enrolled in this study

Adverse event	No. of patients (%)		<i>P</i> value
	Experimental group (<i>N</i> =66)	Control group (<i>N</i> =74)	
Skin discoloration	8 (12.1)	0 (0.0)	0.002
Hepatic damage	8 (12.1)	2 (2.7)	0.046
Hyperuricemia	3 (4.5)	2 (2.7)	0.667
Gastrointestinal reaction	3 (4.5)	5 (6.8)	0.722
Others^a	8(12.1)	5 (6.8)	0.275

^aOther adverse events include renal damage, rash, leukocytopenia, anemia, arthralgia and hearing loss.

